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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/076,199

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Adrian Filipi-Martin

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06/07/2006

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EXAMINER

FIELDS, COURTNEY D

ART UNIT

PAPER NUMBER

2137

DATE MAILED: 06/07/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.		Applicant(s)	
	10/076,199		FILIPi-MARTIN ET AL.	
	Examiner		Art Unit	
	Courtney D. Fields		2137	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 10 March 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>09 January 2006</u> | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-3,5,7-10,12,16,and 19 have been currently amended.
2. Claims 1-19 are pending.

Information Disclosure Statement

3. The Information Disclosure Statement respectfully submitted on 09 January 2006 has been considered by the Examiner.

Response to Arguments

4. Applicant's arguments with respect to claims 1-19 have been considered but are moot in view of the new ground(s) of rejection, O'Brien et al. (US Patent No. 6,990,578).

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. Claims 1-19 are rejected under 35 U.S.C. 102(e) as being anticipated by O'Brien et al. (US Patent No. 6,990,578)

Referring to the rejection of claims 1 and 13, O'Brien et al. discloses an automated encryption system for encrypting an electronic message from a sender to a recipient comprising:

a computer readable medium (See Column 6, lines 52-58)

a network port in communication with the computer readable medium for
accessing a set of public key data having a public key associated with the recipient (See
Column 5, lines 9-14)

and a set of computer readable encryption instructions embodied in the computer
readable medium for receiving the electronic message from the sender addressed to
the recipient (See Column 5, lines 14-19)

retrieving the public key associated with the recipient from the public key data via
the network connection (See Column 5, lines 20-32)

encrypting the electronic message according to the public key associated with
the recipient (See Column 5, lines 46-62)

and forwarding the encrypted message to the recipient for subsequent retrieval
so that the electronic message is automatically encrypted and delivered to the recipient
(See Column 5, lines 62-66)

Referring to the rejection of claim 2, O'Brien et al. discloses the claimed limitation
wherein a set of private key data embodied in the computer readable medium having a
private key associated with the sender (See Column 2, lines 17-22)

and the set of computer readable encryption instructions include instructions for
retrieving the private key associated with the sender from the set of private key
data (See Column 5, lines 20-32)

and signing the electronic message from the sender according to the private key associated with the sender so that the recipient can verify the authenticity of the electronic message (See Column 5, lines 46-62)

Referring to the rejection of claims 3 and 14, O'Brien et al. discloses the claimed limitation wherein a set of private key data contained within the computer readable medium having a private key associated with the sender (See Column 2, lines 17-22)

and a set of computer readable access instructions embodied in the computer readable medium for:

receiving an access attempt input from the sender (See Column 5, lines 14-19)

retrieving the private key associated with the sender from the set of private data (See Column 5, lines 20-32)

validating the access attempt input according to the private key to determine whether a valid access attempt input has been provided (See Column 5, lines 46-62)

and encrypting the electronic message according to the public key if the access attempt input is valid so that only senders with valid access attempt inputs may send encrypted messages (See Column 5, lines 62-66)

Referring to the rejection of claim 4, O'Brien et al. discloses the claimed limitation wherein the set of computer readable access instructions include instructions for signing the electronic message using the private key associated with the sender so that the electronic message can be authenticated (See Column 6, lines 35-51)

Referring to the rejection of claims 5,7, and 10, O'Brien et al. discloses the claimed limitation wherein a network port in communication with the computer readable

medium for accessing a set of public key data having a public key data (See Column 5, lines 9-14)

a set of computer readable key maintenance instruction embodied within the computer readable medium for creating a key pair having a public key associated with the recipient (See Column 5, lines 14-19)

storing the public key within the set of public key data via the network port (See Column 4, lines 64-67, Column 5, lines 8-14)

storing the private key within the private key data (See Column 4, lines 64-67, Column 5, lines 8-14)

and deleting the key pair to prevent the recipient from decrypting messages so that an automated key management system is provided for automatically managing key pairs for recipients (See Column 5, lines 62-67)

Referring to the rejection of claim 6, O'Brien et al. discloses the claimed limitation wherein a set of public key data embodied within the computer readable medium (See Column 2, lines 17-22)

Referring to the rejection of claims 8 and 19, O'Brien et al. discloses an automated encryption system for decrypting an electronic message from a sender to a recipient comprising:

a computer readable medium (See Column 6, lines 52-58)

a set of computer readable decryption instructions embodied in the computer readable medium for:

receiving the electronic message from the sender addressed to the recipient

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(See Column 5, lines 9-14)

retrieving the private key associated with the recipient from the set of private key data (See Column 5, lines 20-32)

decrypting the electronic message according to the private key (See Column 6, lines 4-16)

and providing the decrypted message to the recipient so that the recipient automatically retrieves and decrypts an electronic encrypted message without manually managing private keys (See Column 6, lines 35-45)

Referring to the rejection of claims 9 and 15, O'Brien et al. discloses the claimed limitation wherein a network port in communication with the computer readable medium for accessing a set of public key data having a public key associated with the sender (See Column 5, lines 9-14)

a set of computer readable encryption instructions embodied in the computer readable medium for:

receiving the encrypted message having a digital signature associated with the sender (See Column 5, lines 14-19)

retrieving the public key associated from the sender from the digital signature (See Column 5, lines 20-32)

validating the electronic message according to the digital signature to provide validation information (See Column 5, lines 46-62)

and providing the resulting validation information to the recipient so that the recipient can be notified as to the authenticity of the received encrypted message (See Column 5, lines 62-66)

Referring to the rejection of claim 11, O'Brien et al. discloses the claimed limitation wherein a set of public key data embodied within the computer readable medium (See Column 6, lines 52-58)

Referring to the rejection of claim 12, Meffert et al. discloses the claimed limitation wherein the set of computer readable maintenance instructions include instruction for:

receiving a password from the sender (See Column 2, lines 17-22)

and encrypting the private key associated with the sender so that the private key cannot be used to decrypt messages without supplying an access attempt matching the password (See Column 2, lines 23-33)

Referring to the rejection of claim 16, O'Brien et al. discloses the claimed limitation wherein a computer readable medium (See Column 6, lines 52-58)

a means for receiving an encrypted electronic message from the sender to the recipient (See Column 5, lines 9-14)

a means for obtaining a private key associated with the recipient (See Column 5, lines 20-32)

a means for decrypting the encrypted electronic message from the sender to the recipient so that the recipient can receive and decrypt an encrypted message (See Column 6, lines 4-16)

Referring to the rejection of claim 17, O'Brien et al. discloses the claimed limitation wherein a digital signature associated with the sender contained within the computer readable medium and a means for signing the electronic message with the digital signature (See Column 6, lines 35-51)

Referring to the rejection of claim 18, O'Brien et al. discloses the claimed limitation wherein a means for receiving an electronic message having a digital signature associated with the sender (See Column 5, lines 46-59)

and a means for verifying the authenticity of the electronic message according to the digital signature so that the recipient is ensured that the electronic message truly originates from the sender (See Column 5, lines 60-67)

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Courtney D. Fields whose telephone number is 571-272-3871. The examiner can normally be reached on Mon - Thurs. 6:00 - 4:00 pm; off every Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on 571-272-3865. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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May 29, 2006

Emmanuel L. Moise

EMMANUEL L. MOISE
SUPERVISORY PATENT EXAMINER